

# Transcriptional regulation of the *Nkx3.1* gene in prostate luminal stem cell specification and cancer initiation via its 3' genomic region

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NK3 homeobox 1 (*Nkx3.1*), a transcription factor expressed in the prostate epithelium, is crucial for maintaining prostate cell fate and suppressing tumor initiation. *Nkx3.1* is ubiquitously expressed in luminal cells of hormonally intact prostate but, upon androgen deprivation, exclusively labels a type of luminal stem cells named castration-resistant *Nkx3.1*-expressing cells (CARNs). During prostate cancer initiation, *Nkx3.1* expression is frequently lost in both humans and mouse models. Therefore, investigating how *Nkx3.1* expression is regulated *in vivo* is important for understanding the mechanisms of prostate stem cell specification and cancer initiation. Here, using a transgenic mouse line with destabilized GFP, we identified an 11-kb genomic region 3' of the *Nkx3.1* transcription start site to be responsible for alterations in *Nkx3.1* expression patterns under various physiological conditions. We found that androgen cell-autonomously activates *Nkx3.1* expression through androgen receptor (AR) binding to the 11-kb region in both normal luminal cells and CARNs and discovered new androgen response elements in the *Nkx3.1* 3' UTR. In contrast, we found that, in *Pten*<sup>-/-</sup> prostate tumors, loss of *Nkx3.1* expression is mediated at the transcriptional level through the 11-kb region despite functional AR in the nucleus. Importantly, the GFP reporter specifically labeled CARNs in the regressed prostate only in the presence of cell-autonomous AR, supporting a facultative model for CARN specification.

The homeodomain-containing protein *Nkx3.1* is the earliest known transcription factor expressed in prostate development (1, 2) and orchestrates a transcriptional regulatory network important for prostate cell fate specification (3). The mature prostate gland contains stromal layers and an epithelium that is composed of secretory luminal cells, basal cells, and rare neuroendocrine cells. *Nkx3.1* is primarily expressed in luminal epithelial cells of the adult prostate, with low levels present in a small subset of basal cells (4, 5). It plays a tumor-suppressing role, as both homozygous

and heterozygous *Nkx3.1* mutant mice display increases in epithelial hyperplasia and defects in prostate branching and protein secretion as they age (6–9). In humans, *Nkx3.1* down-regulation is considered one of the earliest events in prostate cancer initiation (10). Mechanistically, *Nkx3.1* has been shown to play a critical role in protecting prostate cells from DNA damage (11–14).

Despite its functional significance, how *Nkx3.1* expression is regulated in normal and tumorigenic prostate *in vivo* remains elusive. *Nkx3.1* mRNA is detected in prostatic buds in E17.5 mouse embryos (2), and studies using urogenital sinus explant culture have demonstrated the involvement of the Fgf10 and Wnt signaling pathways in activating *Nkx3.1* expression during prostate organogenesis (15–18). In postnatal and adult prostate, androgen receptor (AR)<sup>3</sup> signaling has been shown to maintain *Nkx3.1* expression. In particular, androgen deprivation via castration in mice induced prostate regression accompanied by apoptosis in the majority of luminal cells and loss of *Nkx3.1* expression in the ones that survived (1, 2, 5), although the relative contribution of cell-autonomous luminal AR *versus* non-cell-autonomous stromal AR in this process has yet to be determined. Notably, a small subset of the surviving luminal cells retained *Nkx3.1* expression in the regressed prostate. Those cells, named castration-resistant *Nkx3.1*-expressing cells (CARNs), were shown to behave as luminal stem cells that contribute to prostate regeneration upon androgen readministration and could also serve as a cell of origin for prostate cancer (5). How CARNs are specified is unclear; the retention of their *Nkx3.1* expression could be due to an intrinsically different cellular program from other luminal cells or, alternatively, stochastically determined by the local microenvironment. Another important question concerning *Nkx3.1* expression arises from studies of prostate cancer. Under prostate tumor-initiating conditions, such as the loss of *Pten*, luminal *Nkx3.1* expression is abolished in both human samples and mouse models (19–22). How this is accomplished remains unclear. The decrease of *Nkx3.1* mRNA in *Pten*<sup>-/-</sup> tumors suggests that the regulation may occur at the transcriptional level (20, 23), whereas the loss of *Nkx3.1* protein, but not mRNA, in *Pten*<sup>-/+</sup> tumors suggests the existence of translational or posttranslational regulation (19).

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This article contains supplemental Figs. S1–S5 and Tables S1–S5.

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<sup>3</sup> The abbreviations used are: AR, androgen receptor; CARN, castration-resistant *Nkx3.1*-expressing cell; TSS, transcription start site; E17.5, embryonic day 17.5; P4, postnatal day 4; AP, anterior prostate; VP, ventral prostate; DLP, dorsolateral prostate; qChIP, quantitative ChIP; DHT, dihydrotestosterone; ARE, androgen receptor-responsive element; qRT-PCR, quantitative real-time PCR.

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We reason that studying Nkx3.1 transcriptional regulation by analysis of the *Nkx3.1* gene locus should shed light on the above questions. A pioneer study using transgenic LacZ reporter mice discovered that a 32-kb fragment containing 20 kb upstream and 12 kb downstream of the *Nkx3.1* transcription start site (TSS) could drive the endogenous Nkx3.1 expression pattern in most organs during embryogenesis (4). Within this fragment, the downstream-most 5-kb region acted as a urogenital enhancer that partially restored prostatic Nkx3.1 expression (4). Based on this finding, we hypothesize that change of Nkx3.1 expression in adult prostate *in vivo* is regulated transcriptionally through its 3' local genomic region. Our data below support this hypothesis by testing it in the contexts of both prostate regression–regeneration and Pten loss–induced cancer initiation. They also support a facultative model for CARN specification.

### Results

#### An 11-kb Nkx3.1 region drives normal gene expression in adult prostate

To test the hypothesis that change of prostatic Nkx3.1 expression is mediated transcriptionally through its 3' genomic sequence *in vivo*, we took a transgenic reporter mouse approach by constructing an ~11-kb DNA fragment containing the 240-bp *Nkx3.1* proximal promoter, the 4-kb *Nkx3.1* gene sequence, and its adjacent 3' 6.5-kb intergenic region (Fig. 1A). In addition, for visualizing loss of Nkx3.1 expression under androgen deprivation and tumor initiation conditions, we inserted the d2EGFP sequence encoding a destabilized GFP (24) with an SV40 poly(A) sequence right after the start codon (Fig. 1A). With a half-life of 2 h, the d2EGFP should dynamically report the *Nkx3.1* transcriptional activities *in vivo*. The final construct (named *Nkx3.1(11)-d2EGFP*) was used for pronuclear injection, and five independent transgenic mouse lines were obtained. A robust GFP signal was observed specifically in the adult prostate; four of the five lines showed strong to moderate GFP expression in various prostate lobes, whereas one line showed weak expression (Fig. 1B and supplemental Fig. S1 and Table S1). For subsequent analyses, we focused on transgenic line 18 (*Ntg18*) because it displayed the strongest expression in all lobes of the prostate (Fig. 1B).

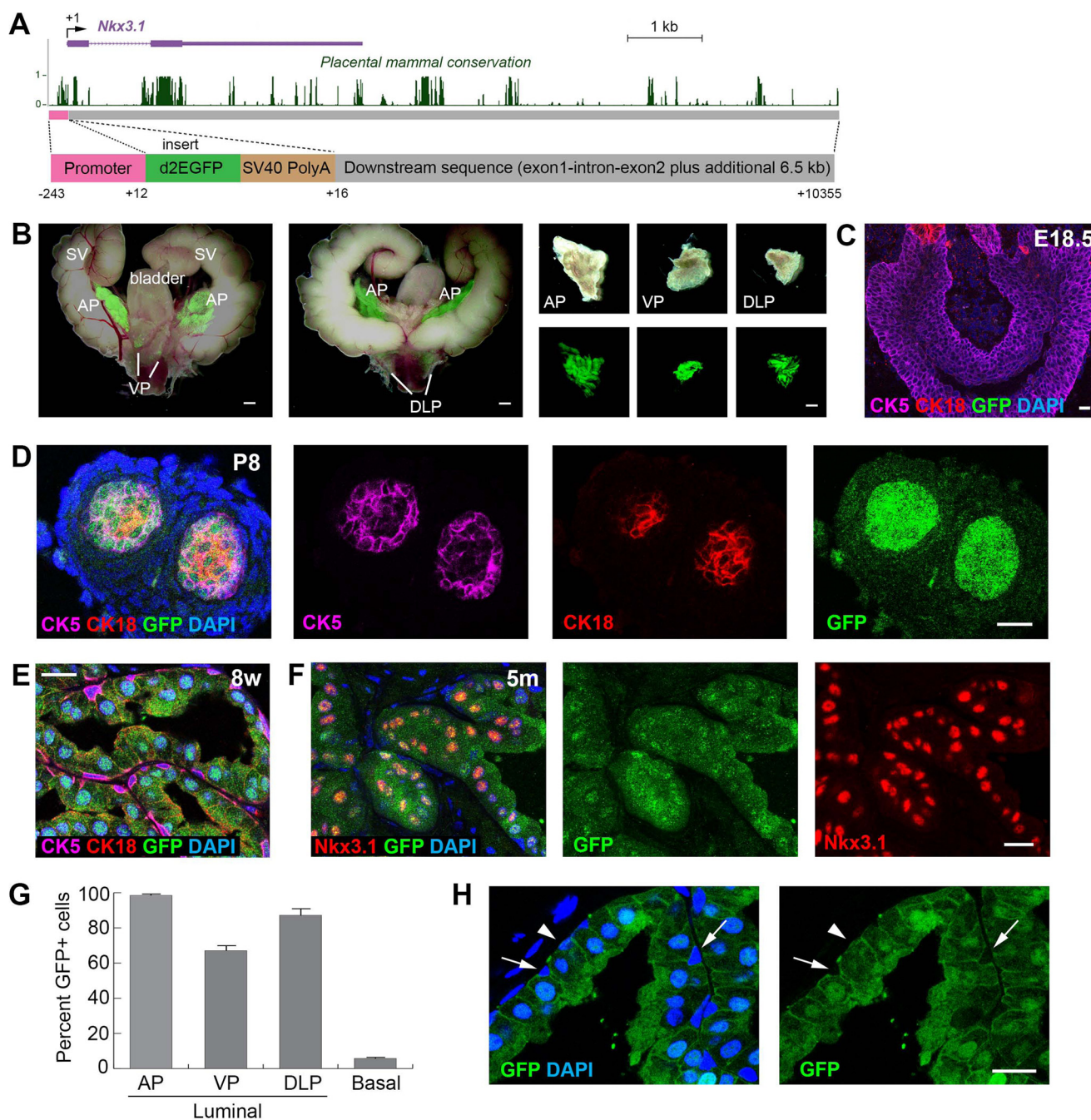
We next examined reporter gene expression during embryogenesis and prostate development. No GFP was observed in somites of E10.5 embryos in any of the lines, consistent with a previous report showing that key elements for somite Nkx3.1 expression lie in the 5' region of the gene locus (4). We also did not detect GFP in E18.5 prostate buds, where cells mostly expressed the basal marker CK5 (Fig. 1C). GFP began to be expressed in the prostate around neonatal stage P4. By neonatal stage P8, GFP was expressed throughout the prostate epithelium, which contained basal (CK5<sup>+</sup>), luminal (CK18<sup>+</sup>) and intermediate cells (CK5<sup>+</sup>CK18<sup>+</sup>) and co-localized with Nkx3.1 expression (Fig. 1D and supplemental Fig. S2A). Throughout the adult stage, GFP expression was persistently present in the luminal layer and co-localized with Nkx3.1 expression (Fig. 1, E and F). The proportions of luminal cells that were GFP<sup>+</sup> in the anterior, ventral, and dorsal-lateral prostate lobes (AP, VP, and DLP, respectively) were 98.2% ( $n = 2019$  of 2055), 67.2% ( $n = 839$  of 1248), and 87.1% ( $n = 1283$  of 1473), respectively (Fig. 1G and

supplemental Fig. S2, B–D). Notably, ~5.8% ( $n = 95$  of 1638) of basal cells were also GFP<sup>+</sup> (Fig. 1, G and H), in agreement with the percentage of Nkx3.1<sup>+</sup> basal cells reported previously (4, 5). Overall, *Nkx3.1(11)-d2EGFP* recapitulates the endogenous Nkx3.1 expression pattern in adult prostate homeostasis.

#### Androgen activates Nkx3.1 transcription through cell-autonomous AR in both luminal cells and CARNs

To test whether androgen regulates Nkx3.1 expression through the 11-kb region, we castrated *Nkx3.1(11)-d2EGFP* mice at 8 weeks of age and performed three rounds of androgen-mediated serial prostate regression–regeneration (Fig. 2A). We found that the GFP signal was dramatically decreased in the regressed prostate (Fig. 2, B and C), consistent with loss of Nkx3.1 expression in most luminal cells upon androgen deprivation. Importantly, cells that retained GFP expression were strictly luminal and expressed Nkx3.1 (Fig. 2C), and they comprised 1.4% ( $n = 29$  of 2053) of all luminal cells (Fig. 2K), suggesting that they correspond to the previously identified CARNs (5). Upon androgen readministration, the GFP signal was again detected in most luminal cells (95.6%,  $n = 1883$  of 1970) of the regenerated prostate, and the same results were observed for both one-round and three-round regeneration experiments (Fig. 2, D, E, and K). These data demonstrate that the *Nkx3.1* 11-kb region is fully responsive to androgen regulation.

Androgen-regulated luminal Nkx3.1 expression could be mediated cell-autonomously through luminal AR and/or through paracrine signals induced by stromal AR. To distinguish these two possibilities, we analyzed prostate in the midst of regression. We found that, 2 weeks after castration of *Nkx3.1(11)-d2EGFP* mice (Fig. 2A), the shrinking prostate showed a mosaic GFP expression pattern, with the GFP<sup>+</sup> regions overlapping with luminal nuclear AR signals (Fig. 2F). To further confirm the cell-autonomous role of androgen, we deleted AR specifically in luminal cells using the *CK18-CreER<sup>T2</sup>* driver (25) by tamoxifen induction of 8-week-old *CK18-CreER<sup>T2</sup>; AR<sup>fllox/Y</sup>* mice. Two weeks after induction, AR-null luminal cells could be identified as clusters with condensed nuclei and enhanced membrane CK18 expression, as we reported previously (26), and we found that Nkx3.1 expression was diminished in those cells (Fig. 2G). Direct staining of AR and Nkx3.1 in adjacent sections also confirmed this result (supplemental Fig. S3A). Importantly, we found that the GFP signal was significantly reduced in AR-null luminal cells of tamoxifen-induced *CK18-CreER<sup>T2</sup>; AR<sup>fllox/Y</sup>; Nkx3.1(11)-d2EGFP* mice (Fig. 2H and supplemental Fig. S3B), indicating that luminal cell-autonomous AR directly activates *Nkx3.1* transcription through the 11-kb region. To determine whether such a mechanism also applies to CARNs, we ablated AR specifically in CARNs using the *Nkx3.1<sup>CreERT2/+</sup>* driver (5) in the fully regressed prostate and analyzed GFP reporter activity. In *Nkx3.1<sup>CreERT2/+</sup>; AR<sup>fllox/Y</sup>; Nkx3.1(11)-d2EGFP* mice that first underwent castration and then tamoxifen induction (Fig. 2I), the percentage of GFP<sup>+</sup> luminal cells in the regressed prostate was dramatically reduced by almost 10-fold to 0.16% ( $n = 4$  of 2450) (Fig. 2, J and K), and no Nkx3.1<sup>+</sup> cells were found by immunofluorescence staining. Therefore, Nkx3.1



**Figure 1. *Nkx3.1*(11)-d2EGFP recapitulates *Nkx3.1* expression in adult prostate.** *A*, the DNA construct used for generating *Nkx3.1*(11)-d2EGFP transgenic mice, showing the relative position of its sequences in the mouse *Nkx3.1* gene locus. Conservation of DNA in multiple placental mammal species is shown as in the UCSC genome browser. *B*, white-field and GFP overlay images of dissected urogenital tissues, showing strong prostate-specific GFP expression in the *Ntg18* line. *C*, immunofluorescence (IF) images showing no GFP reporter expression in E18.5 prostate bud. *D*, IF showing GFP expression in all prostate epithelial cells at P8. *E*, IF showing GFP expression primarily in luminal cells at 8 weeks (8w). *F*, IF showing co-localization of reporter GFP and *Nkx3.1* signals in luminal cells at 5 months. *G*, quantitation of the percentages of GFP<sup>+</sup> cells in luminal cells of different lobes and in basal cells. *H*, direct visualization of the GFP signal, showing that most basal cells were GFP-negative (arrows), whereas a small proportion was GFP<sup>+</sup> (arrowheads) in adult prostate. SV, seminal vesicle. Scale bars = 1 mm (*B*) and 20  $\mu$ m (*C*-*F* and *H*). Error bars correspond to 1 S.D.

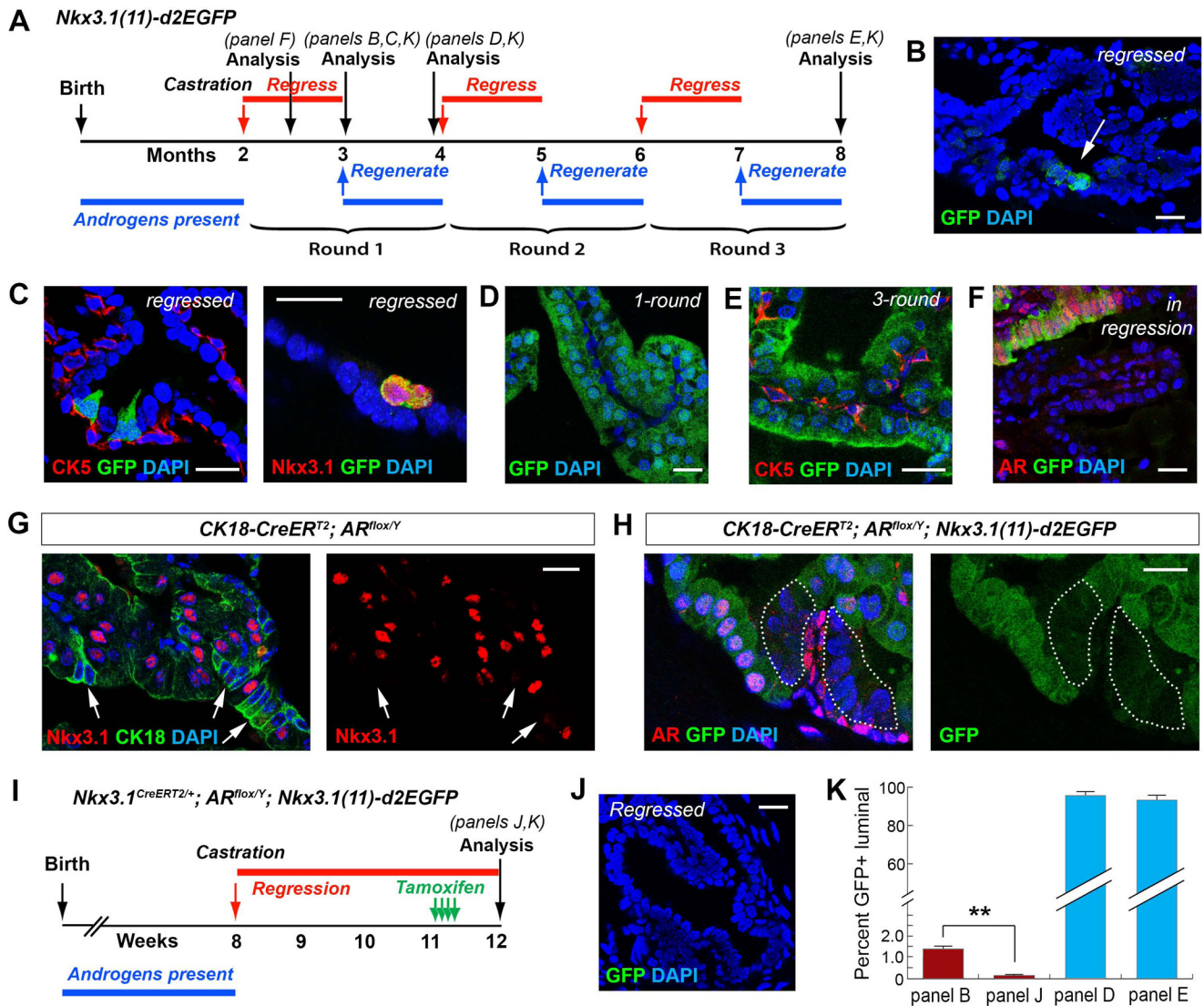
expression in both luminal cells and CARNs are transcriptionally regulated by cell-autonomous AR through the 11-kb region.

**AR preferentially binds to the *Nkx3.1* 3' gene locus in vivo and in vitro**

To confirm that AR interacts with the *Nkx3.1* 3' gene locus, we next performed quantitative ChIP (qChIP) analyses across a

17-kb *Nkx3.1* region that encompasses both 7-kb upstream and 10-kb downstream sequences to its TSS (Fig. 3A). We chose to analyze this region because it was shown previously to be capable of driving prostatic *Nkx3.1* expression (4). Consistent with our reporter mouse data, we found that AR had low affinity for the region 5' to the *Nkx3.1* TSS but, instead, occupied multiple loci in the 3' 11-kb region (Fig. 3A). In particular, our statistical analysis (see "Experimental procedures") highlighted two bind-

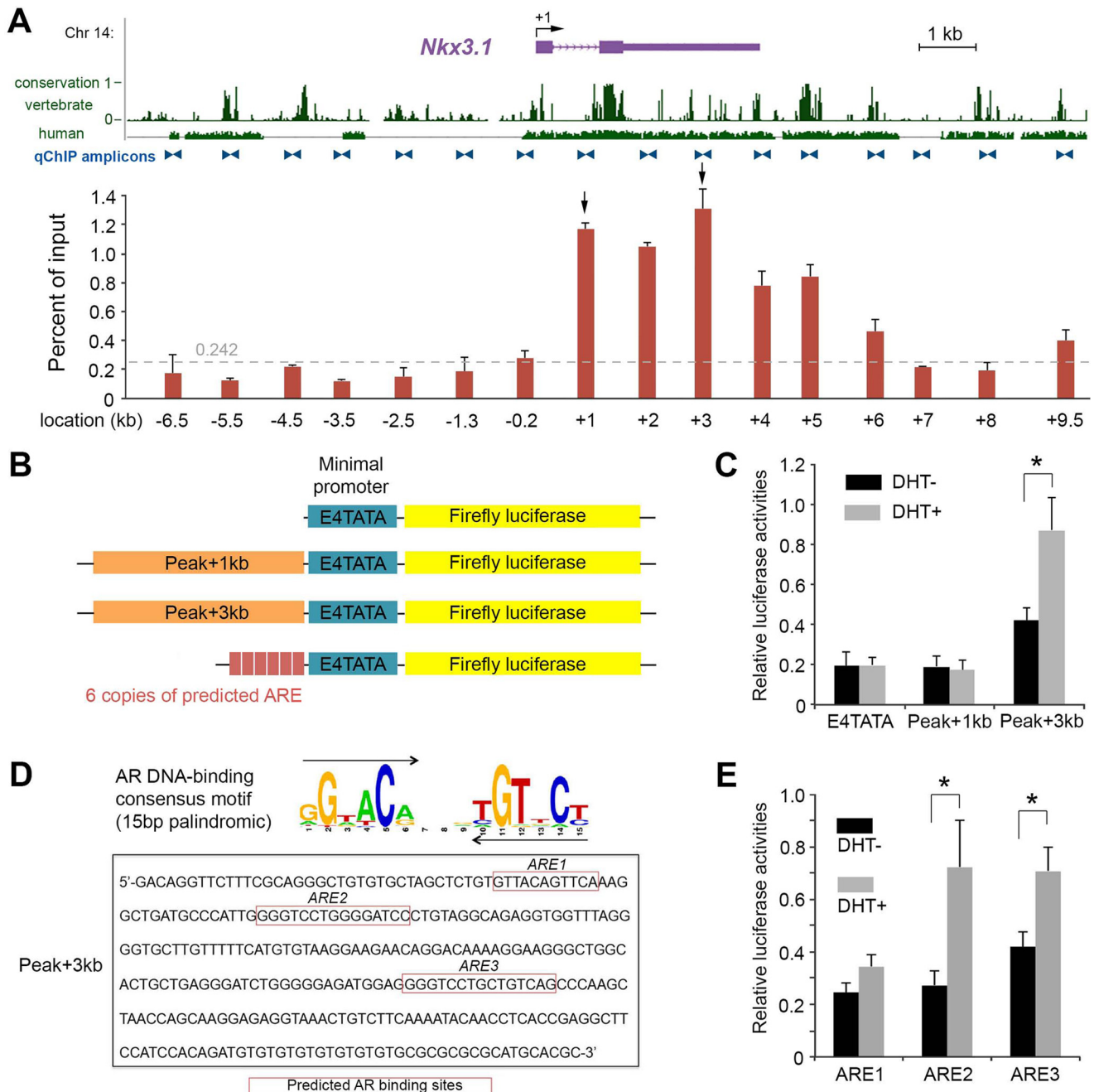
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**Figure 2. AR-dependent Nkx3.1 expression in luminal cells and CARNs revealed by Nkx3.1(11)-d2EGFP during prostate regression-regeneration.** *A*, timeline of the serial prostate regression-regeneration experiment for *Nkx3.1(11)-d2EGFP* mice. *B*, direct visualization showing relatively few GFP<sup>+</sup> cells (arrow) in the regressed prostate. *C*, IF images showing that the rare GFP<sup>+</sup> cells in the regressed prostate were luminal (CK5<sup>-</sup>, left) and Nkx3.1<sup>+</sup> (right). *D*, direct visualization showing that most luminal cells recovered GFP expression after one-round prostate regeneration. *E*, IF image showing that most luminal cells were GFP<sup>+</sup> after three-round regression-regeneration. *F*, IF image showing co-localization of GFP and nuclear AR in prostate that is undergoing regression. *G*, IF image showing loss of Nkx3.1 expression in AR-null luminal cells (revealed by enhanced CK18 expression, arrows). *H*, the GFP reporter signal was strongly reduced in AR-null luminal cells in the AP of tamoxifen-induced *CK18-CreERT<sup>2</sup>; AR<sup>fllox/Y</sup>; Nkx3.1(11)-d2EGFP* mice. *I*, timeline of the experiment for AR ablation in CARNs in *Nkx3.1<sup>CreERT2/+</sup>; AR<sup>fllox/Y</sup>; Nkx3.1(11)-d2EGFP* mice. *J*, almost no GFP signal was observed by direct visualization of the prostate in *I*. *K*, quantitation of the proportions of GFP<sup>+</sup> cells among total luminal cells for the experiments in *B*, *D*, *E*, and *J*. \*\*,  $p < 0.01$  by Student's *t* test. Scale bars = 20  $\mu$ m. Error bars correspond to 1 S.D.

ing sites with the highest affinity in the 3' UTR ("Peak+3kb") and the intron ("Peak+1kb"), respectively (Fig. 3A). To test whether these two peaks could serve as transcriptional enhancers, we performed a luciferase reporter assay by transfection of synthetic constructs containing an E4TATA minimal promoter in combination with the peak regions into prostate LnCaP cells (Fig. 3B), which express AR and are androgen-responsive (27). Upon dihydrotestosterone (DHT) treatment, luciferase activity for the construct containing the ~290-bp Peak+3kb sequence was significantly enhanced, whereas no difference was observed for the construct containing the Peak+1kb sequence (Fig. 3C). To test any potential spatial effect of the binding site arrangement, we also generated constructs in which the Peak+1kb and Peak+3kb sequences were positioned downstream of the luciferase sequence and obtained similar results (supplemental Fig.

S4). We next used the FIMO software (28) to search for potential AR-responsive elements (AREs) within the Peak+3kb fragment based on the AR DNA-binding consensus motif obtained from TRANSFAC (29). Three new candidate AREs were identified, with ARE1 being a composite site containing two 6-bp half-sites with one overlapping nucleotide and ARE2 and ARE3 both being optimal palindromic sequences 15 bp in length (Fig. 3D). In a series of luciferase assays using synthetic reporters containing six copies of the individual predicted AREs (Fig. 3B), we found that both ARE2 and ARE3, but not ARE1, significantly enhanced luciferase activities upon DHT treatment (Fig. 3E). Cumulatively, these molecular analyses support that AR directly binds to the 11-kb region, particularly the *Nkx3.1* 3' UTR, to activate *Nkx3.1* transcription.



**Figure 3. AR binds to the *Nkx3.1* 11-kb 3' region to enhance its gene expression.** *A*, distribution of AR detected by qChIP analysis over the 17-kb mouse *Nkx3.1* locus in prostate chromatin. The relative enrichments are shown as the percentage of the DNA input. Locations of primer pairs for qChIP amplicons are marked by blue triangles. Conservations of DNA in vertebrate species and in human are shown as in the UCSC genome browser. Specific AR enrichments above the background noise (0.242, dashed gray line, see "Experimental procedures" for a description) were concentrated in the 11-kb region 3' next to the TSS, with the highest two peaks at locations +3kb and +1kb, respectively (arrows). *B*, constructs for transient transfection and luciferase reporter assays. *C*, luciferase reporter assay comparing the construct with the minimal promoter with those containing Peak+1kb and Peak+3kb sequences, showing that the Peak+3kb region is androgen-responsive. *D*, sequences of three candidate AREs in the Peak+3kb region. *E*, luciferase assay comparing constructs containing six copies of each predicted ARE, showing that ARE2 and ARE3 are androgen-responsive. Error bars correspond to 1 S.D. \*,  $p < 0.05$  by Student's *t* test.

***Pten* promotes *Nkx3.1* expression in vivo through transcriptional regulation of the 11-kb region**

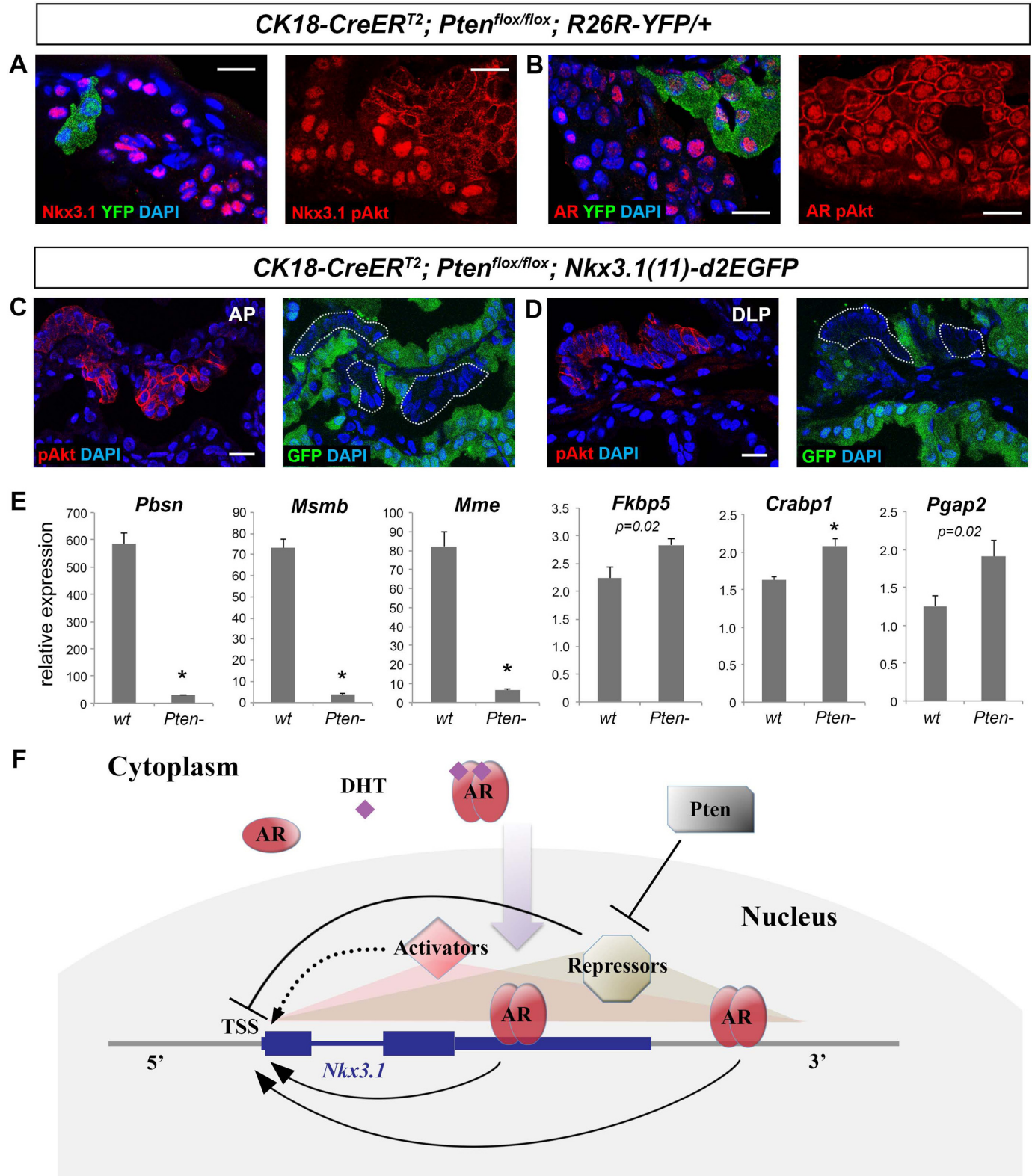
Finally, we sought to address the question how loss of the tumor suppressor *Pten* leads to down-regulation of *Nkx3.1* expression in prostate tumors (19–21). We generated lineage-marked *Pten*-null prostate cell clones that were identifiable as YFP<sup>+</sup> or phospho-Akt<sup>+</sup> by tamoxifen-induction of *CK18-Cre-ER<sup>T2</sup>*; *Pten<sup>fllox/fllox</sup>*; *R26R-YFP*/+ mice and confirmed that

*Nkx3.1* immunostaining was abolished in those cells (Fig. 4A). Notably, this phenomenon is not due to AR loss because strong nuclear AR staining was still present in the *Pten*-null cells (Fig. 4B). Many potential causes could result in this phenotype, including decrease of *Nkx3.1* transcription, *Nkx3.1* mRNA degradation/modification, and *Nkx3.1* protein degradation. To determine whether *Pten* regulation of *Nkx3.1* expression occurs at the transcriptional level, we analyzed GFP reporter

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expression in tamoxifen-induced *CK18-CreER<sup>T2</sup>; Pten<sup>flox/flox</sup>; Nkx3.1(11)-d2EGFP* mice. *Pten*-null clones were identified by positivity of phospho-Akt staining 2 weeks after induction (Fig. 4, C and D). Strikingly, the GFP signal was abolished in those cells but not in neighboring wild-type cells (Fig. 4, C and D). Triple staining of AR, phospho-Akt, and GFP also confirmed

the above findings (supplemental Fig. S5). To determine whether the nuclear AR is functional in *Pten*-null cells, we isolated wild-type and *Pten*-null luminal cells by flow-sorting of YFP<sup>+</sup> cells from tamoxifen-induced *CK18-CreER<sup>T2</sup>; R26R-YFP/+* and *CK18-CreER<sup>T2</sup>; Pten<sup>flox/flox</sup>; R26R-YFP/+* mice, respectively, and performed qRT-PCR for several well-established



lished prostate AR target genes: *Crabp1*, *Fkbp5*, *Mme*, *Msemb*, *Pbsn*, and *Pgap2* (23, 30). Consistent with previous reports (23), we found that expression of *Pbsn*, *Msemb*, and *Mme* was significantly reduced in *Pten*-null cells (Fig. 4E). However, expression of *Fkbp5*, *Crabp1*, and *Pgap2* was unchanged or modestly up-regulated (Fig. 4E), indicating that AR is transcriptionally active in these cells and that additional transcriptional repressors are involved in suppressing a subset of AR target genes, including *Nkx3.1*.

## Discussion

In this study, we show that the 11-kb region 3' to the *Nkx3.1* TSS is responsible for *Nkx3.1* expression alterations in adult prostate under various physiological conditions (Fig. 4F). The 11-kb transgene was able to recapitulate the normal *Nkx3.1* expression pattern in adult prostate and induce the same levels of expression as the previously characterized 32-kb and 17-kb transgenes (4), suggesting that the 5' sequence of the *Nkx3.1* locus is dispensable for this purpose. Notably, all three fragments induced *Nkx3.1* expression in most but not all luminal cells of the DLP and VP, suggesting that a distant cis-regulatory elements exist beyond the 32-kb region to strengthen *Nkx3.1* expression in those lobes. In contrast, the 11-kb fragment induced much stronger expression in all lobes than the previously identified 5-kb urogenital enhancer at its distal 3' location (4), suggesting that the 3' region adjacent to the *Nkx3.1* TSS is also important. Indeed, our qChIP experiments identified multiple AR binding sites *in vivo* in the 11-kb region but not in the 5' region. In particular, the Peak+3kb sequence in the 3' UTR was discovered as a new important androgen response region. Using prostate cancer LnCaP cells, previous studies have identified potential AREs in various locations of the *Nkx3.1* locus, including the intron (31), the 3' UTR (32, 33), and a site 5' to the *Nkx3.1* TSS (34). However, whether those sites are functional *in vivo* are unknown. Using reporter mice, our prostate regression–regeneration experiments and AR conditional knock-out experiments showed that androgen regulation of *Nkx3.1* expression in the adult prostate is primarily mediated by cell-autonomous AR through the 11-kb region. We note that the reporter GFP signal was not completely abolished in some AR-null luminal cell clones, indicating that other transcription factors can also bind to the 11-kb region to enhance *Nkx3.1* expression in the absence of AR (Fig. 4F).

An interesting finding from our study is that the reporter mice labeled rare GFP<sup>+</sup> luminal cells in the regressed prostate. The frequency of those cells and their positivity for *Nkx3.1* suggest that they are the previously identified luminal stem cell CARNs. CARNs are AR<sup>+</sup> (5), and we recently showed that they

require AR for proper daughter cell differentiation (26). However, how CARNs are specified remains unclear. Is their exclusive *Nkx3.1* expression in the regressed prostate a result of AR expression or some other intrinsic properties that are different from the rest of the luminal cells? Our conditional knock-out and reporter mouse experiments indicate that *Nkx3.1* expression in CARNs is also mediated by cell-autonomous AR through the 11-kb region. We hypothesize that, after castration, residual levels of androgen secreted from the adrenal gland may induce AR nuclear translocation in a few localized niches, thereby specifying CARNs in the regressed prostate and sensitizing them to AR levels. Such a model would argue that CARNs are facultative stem cells dependent on microenvironmental cues (local androgen) rather than a pre-existing fixed population. This model can also explain the previously reported phenomena that CARNs tend to be clustered and that some initially YFP<sup>+</sup> lineage-marked CARNs lost *Nkx3.1* expression over time (5). Our *Nkx3.1(11)-d2EGFP* mice should provide a useful and convenient tool for isolation of *bona fide* CARNs from the regressed prostate at any particular time and studying their molecular and functional properties. Notably, CARNs are not the only source for prostate luminal cell regeneration because many regressed luminal cells contribute to this process (22, 35), and *Bmi1*<sup>+</sup> cells were recently shown to be a distinct type of luminal progenitor cells competent for regeneration (36). Future work should shed light on how this new type of progenitor cell is specified and its relationship with CARNs.

*Nkx3.1* down-regulation has been reported in a variety of mouse prostate cancer models (20, 21, 37, 38) and is well documented in human prostate cancer initiation (10). In human prostate cancer samples, decreases in *Nkx3.1* and *Pten* expression levels were reported to be significantly correlated (21, 39). Our data showing that loss of *Pten* abolished the GFP reporter signal provide definitive evidence that *Pten* promotes *Nkx3.1* expression at the transcriptional level. The presence of nuclear AR in *Pten*-null clones as well as the down-regulation of a subset but not all of the AR target genes indicate that AR protein is still functional but that unknown transcriptional repressors activated by the PI3K pathway are involved and exert their negative effects through the 11-kb region (Fig. 4F). It was proposed that *Egr1*, *c-Jun*, and *Ezh2* are up-regulated upon *Pten* deletion and interact with AR to suppress its transcriptional output (23). Further analyses of the 11-kb sequence and molecular analyses of *Pten*-null luminal cells should provide potential candidates to test. It will also be interesting to determine whether similar

**Figure 4. Transcriptional regulation of *Nkx3.1* by *Pten* and the overall model.** A, IF images showing loss of *Nkx3.1* expression in *Pten*-null luminal cells marked by either YFP positivity (left panel) or phospho-Akt expression (right panel) of tamoxifen-induced *CK18-CreER<sup>T2</sup>; Pten<sup>fllox/fllox</sup>; R26R-YFP/+* mice. Phospho-Akt staining (red) can be distinguished from *Nkx3.1* or AR staining (red) by its membrane localization. B, IF images showing nuclear AR expression in *Pten*-null luminal cells. C and D, adjacent sections of phospho-Akt staining and GFP direct visualization in AP (C) and DLP (D) of tamoxifen-induced *CK18-CreER<sup>T2</sup>; Pten<sup>fllox/fllox</sup>; Nkx3.1(11)-d2EGFP* mice, showing that the GFP signal is abolished in *Pten*-null cells. Scale bars = 20  $\mu$ m. E, qRT-PCR analyses comparing the expression levels of selected AR target genes in wild-type and *Pten*-null luminal cells by Welch *t* test. \*, *p* < 0.01. Error bars correspond to 1 S.D. F, model of *Nkx3.1* transcriptional regulation in adult prostate epithelial cells. Androgen cell-autonomously maintains *Nkx3.1* expression in adult prostate epithelial cells. Upon DHT binding, AR proteins in the cytoplasm dimerize and translocate into the nucleus. They preferentially bind to the 11-kb *Nkx3.1* 3' region to the TSS, particularly the 3' UTR, to activate *Nkx3.1* transcription. Other transcription activators also bind to this region (shaded red triangle) and play a minor role in activating *Nkx3.1* transcription (dashed arrow). Transcriptional repressors that are normally inhibited by *Pten* can also bind to this region (shaded yellow triangle) and strongly inhibit *Nkx3.1* transcription, even in the presence of nuclear AR.

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transcriptional regulatory mechanisms exist for Nkx3.1 down-regulation under other tumor-initiating conditions.

### Experimental procedures

#### Generation of Nkx3.1(11)-d2EGFP mice

The *Nkx3.1(11)-d2EGFP* construct is comprised of the 10.5-kb mouse genomic sequence (UCSC genome browser, mm9 Chr 14: 69808515-69819104) at the *Nkx3.1* locus (−234/+10356) containing a 240-bp proximal promoter, exon 1, intron, exon 2, and ~6.5-kb 3' region as well as the d2EGFP (Clontech) and SV40 poly(A) sequences inserted right after the *Nkx3.1* start codon. The construct (11671-bp total length) was synthesized by GenScript and cloned into a pUC57 vector. MluI and XhoI restriction enzyme sites were engineered at both ends of the synthesized sequence for plasmid linearization. The transgenic mouse lines were generated by pronuclear injection of fertilized eggs by Cyagen Biosciences.

#### Mouse genotyping and operations

The *CK18-CreER<sup>T2</sup>* transgenic line (25), *Nkx3.1<sup>CreERT2/+</sup>* targeted allele (5), *AR<sup>lox</sup>* allele (40), *Pten<sup>lox</sup>* allele (41), and *R26R-YFP* line (42) were described previously. Animals were maintained in C57BL/6N background. Genotyping was performed by PCR using tail genomic DNA; primer sequences are listed in [supplemental Table S2](#). The *Nkx3.1(11)-d2EGFP* transgene was genotyped by a set of primers amplifying the junction between the proximal promoter and the d2EGFP sequence. The following primers generated a 319-bp PCR product: 5'-AAGGGCTCTGGAGCCTAATC-3' (forward) and 5'-GAACTTCAGGGTCAGCTTGC-3' (reverse).

For tamoxifen induction, mice were administered 9 mg/40 g of body weight tamoxifen (Sigma) suspended in corn oil by oral gavage once daily for 4 consecutive days. Castration of adult male mice was performed using standard techniques. For prostate regeneration, testosterone (Sigma) was dissolved at 25 mg/ml in 100% ethanol and diluted in PEG-400 to a final concentration of 7.5 mg/ml. Testosterone was administered for 4 weeks at a rate of 1.875  $\mu$ g/h, delivered by subcutaneous implantation of mini-osmotic pumps (Alzet). All animal experiments received approval from the Institutional Animal Care and Use Committee at UCSC.

#### GFP visualization, immunofluorescence staining, and cell quantitation

Prostate tissue dissection and direct GFP visualization were performed under a Nikon SMZ-1000 stereomicroscope with fluorescence and charge-coupled device digital camera. Tissues were fixed in 4% paraformaldehyde for subsequent cryo-embedding in OCT compound (Sakura). Immunofluorescence staining was performed as described previously (26). Slides were mounted with VectaShield mounting medium with DAPI (Vector Laboratories). Images were obtained using a Leica TCS SP5 spectral confocal microscope in the Microscopy Shared Facility of UCSC. All primary antibodies and dilutions used are listed in [supplemental Table S3](#). Nkx3.1, AR, and pAkt primary antibodies were all raised in rabbits, but their expression can be distinguished in co-staining because pAkt signal is on the mem-

brane, whereas AR and Nkx3.1 signals are nuclear. For AR and Nkx3.1 co-staining, adjacent cryosections of 3- $\mu$ m thickness were used.

Cell numbers were counted manually using confocal  $\times 40$  and  $\times 63$  photomicrographs across tissue sections. Three animals were counted for each group. Basal cells were identified based on lack of CK18 staining, positivity for CK5 staining, and/or the shape of the cells (oval or triangular) and their positions at the basement of the epithelium. Luminal cells were determined based on positive CK18 staining and/or the shape of the cells (columnar) and their positions at the apical side of the epithelium.

#### Flow cytometry

To sort luminal cells, lineage-marked prostate tissues were dissected and minced into small clumps, followed by enzymatic dissociation with 0.2% collagenase I (Invitrogen) in DMEM with 5% FBS for 3 h at 37 °C. Tissues were digested with 0.25% trypsin-EDTA (StemCell Technologies) for 1 h at 4 °C, passed through 21- to 26-gauge syringes, and filtered through a 40- $\mu$ m cell strainer to obtain single-cell suspensions. Dissociated prostate cells were suspended in Hanks' balanced salt solution modified/2% FBS. The Rho-associated protein kinase (ROCK) inhibitor Y-27632 (StemCell Technologies) was added at 10  $\mu$ M throughout the whole process to inhibit luminal cell death, and dead cells were excluded by propidium iodide staining. Luminal cells were sorted based on YFP positivity on a BD FACS Aria II instrument in the flow cytometry shared facility of UCSC.

#### Quantitative real-time PCR analysis

Total RNA from FACS-purified luminal cells was isolated using the RNeasy micro kit (Qiagen) and reverse-transcribed into cDNA using SuperScript IV VILO Master Mix (Invitrogen). Quantitative real-time PCR was carried out using Power SYBR Green PCR Master Mix (Life Technology) in the ViiA 7 real-time PCR instrument. cDNA samples were diluted 1:100 for all analyses, which were performed in quadruplicate. Expression values were obtained using the  $\Delta\Delta$ CT method and normalized to  $\beta$ -actin expression; average values are shown as the mean  $\pm$  S.D. Welch two-sample *t* test was performed. The primer sequences for qRT-PCR are provided in [supplemental Table S4](#).

#### Quantitative ChIP and statistics

The Magna ChIP<sup>TM</sup> HiSens chromatin immunoprecipitation kit (Millipore) was used according to the instructions of the manufacturer. Formaldehyde cross-linked chromatin was obtained from adult mouse prostate and sheared by sonication (average size, 600 bp). Aliquots of chromatin from 2 mg of prostate tissue were incubated with 2  $\mu$ g of anti-AR antibody (Sigma, catalog no. A9853) bound to 40  $\mu$ l of protein A/G-coated magnetic beads from the kit. The immunoprecipitates were washed three times by SCW buffer of the kit with protease inhibitor mixture and resuspended in ChIP elution buffer. After 1 h of incubation at 55 °C, the cross-links were reversed by 3-h incubation at 65 °C. Genomic DNA was resuspended into 150  $\mu$ l of water.

The amounts of each specific DNA fragment in immunoprecipitates were determined by quantitative PCR reactions using a standard curve generated for each primer set with 0.04%,



0.2%, and 1% input DNA samples. The primer sequences are provided in [supplemental Table S5](#). The PCR products were between 80 and 120 bp. Reactions were carried out using the ViiA™ 7 real-time PCR system and 2× SYBR mixture (ABI). Cycle threshold values were transformed into DNA copy numbers using a standard curve. The copy number of each specific DNA fragment was compared with the value before immunoprecipitation (input DNA). The control antibody (rabbit normal IgG, Millipore, catalog no. CS200581) was included for each set of the quantitative PCR experiments. The enrichment obtained with IgG was subtracted from the corresponding value obtained with anti-AR antibody. To determine a threshold value that distinguishes real binding signals from background noise, statistical analysis was conducted using R Software (version 2.13.1). Analysis of variance was performed for the AR signals obtained from all 16 qChIP amplicon sites (seven amplicons 5′ to and nine amplicons 3′ to the TSS), and Fisher's least significant difference test was performed to separate the 16 sites into the following six groups with a descending order in the signal intensity: +3 kb (3′ UTR) > +1 kb (intron), +2 kb (3′ UTR) > +5 kb, +4 kb > +6 kb, +10 kb > −0.2 kb > the other eight sites (background noise). Student's *t* test for the last group showed the 99% confidence interval of the background signal value to be 0.107–0.242, indicating that all regions having signals higher than 0.242 should be considered specific AR-binding regions.

#### Plasmid construction, transfection, and luciferase reporter assays

The sequences of the Peak+1kb and Peak+3kb regions and six copies of the predicted AREs with a minimal E4TATA promoter were synthesized by GenScript and then subcloned into the firefly luciferase reporter vector in the pGL3-Basic plasmid (Promega). Transient transfections were conducted by Lipofectamine 2000 (Invitrogen) in LnCaP cells (ATCC, CRL-1740). The cells were cultured in RPMI 1640 medium with 10% FBS in 24-well plates for 36 h before transfection to ensure complete cell attachment and growth to ~70% confluence. For transfection, 1.6 μg of firefly luciferase reporter plasmid and 40 ng of *Renilla*-TK (Promega) internal control plasmid were mixed with 2.5 μl of Lipofectamine 2000 in 100 μl of Opti-MEM (Life Technologies). The mixture was then incubated with the cells for 5 h before being replaced with cell culture medium with or without 10<sup>−6</sup> M DHT. The Dual-Luciferase reporter assays (Promega) were performed after 48 h of DHT stimulation according to the instructions of the manufacturer and were repeated twice in triplicates. Statistical evaluation of luciferase activities was performed using two-sided Student's *t* test.

#### Prediction of AREs in the Peak+3kb region

To search for candidate AREs in the Peak+3kb region, Find Individual Motif Occurrence (FIMO), a motif-based sequence analysis software of MEME Suite 4.11.3 (<http://meme-suite.org/index.html>)<sup>4</sup> (43) was run with a 15-bp AR consensus motif (V\$AR\_01 - AR) from TRANSFAC, a manually curated data-

base of eukaryotic transcription factor binding sites. The 15-bp optimal AR binding motif is a palindromic sequence containing two 6-bp AR binding half-sites separated by 3-bp random nucleotides with a head-to-head arrangement. Prediction was also performed by FIMO using the AR binding half-site sequence. Filter criteria with the match  $p < 10^{-4}$  was used to identify the three potential AREs.

*Author contributions*—Q. X. conducted the experiments. Q. X. and Z. A. W. conceived the project, analyzed the data, and wrote the manuscript.

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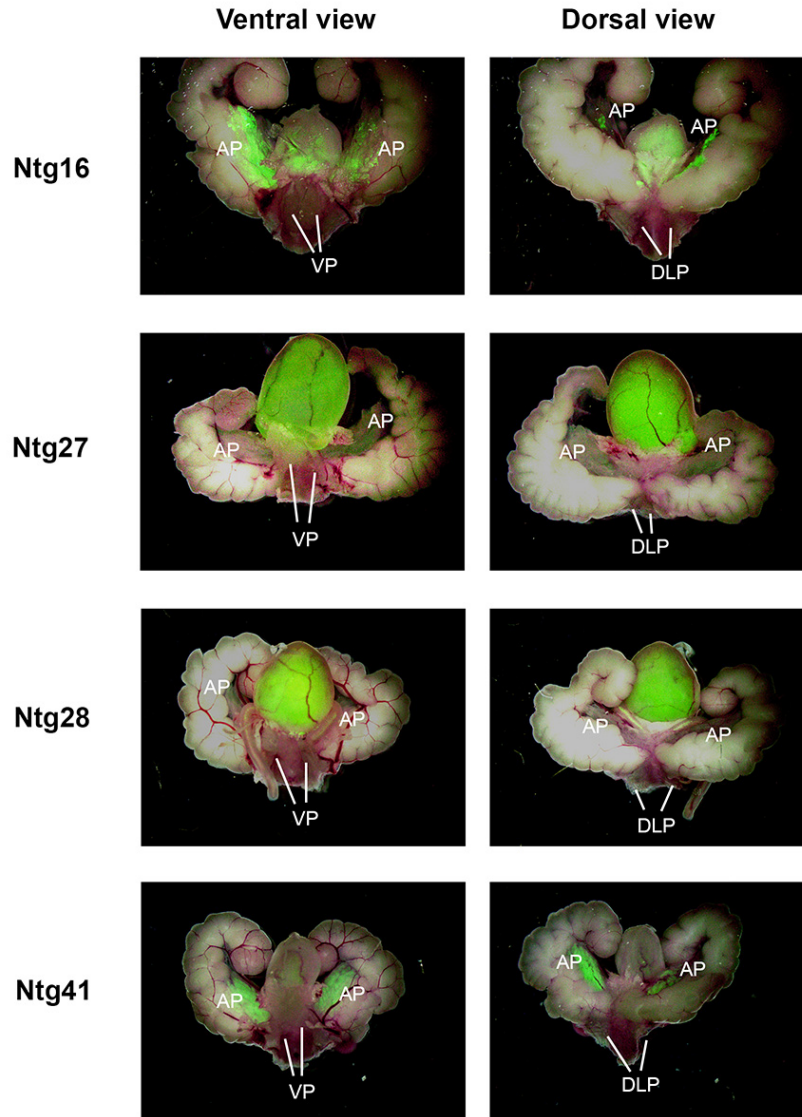
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<sup>4</sup> Please note that the JBC is not responsible for the long-term archiving and maintenance of this site or any other third party-hosted site.

## Nkx3.1 in prostate stem cells and cancer

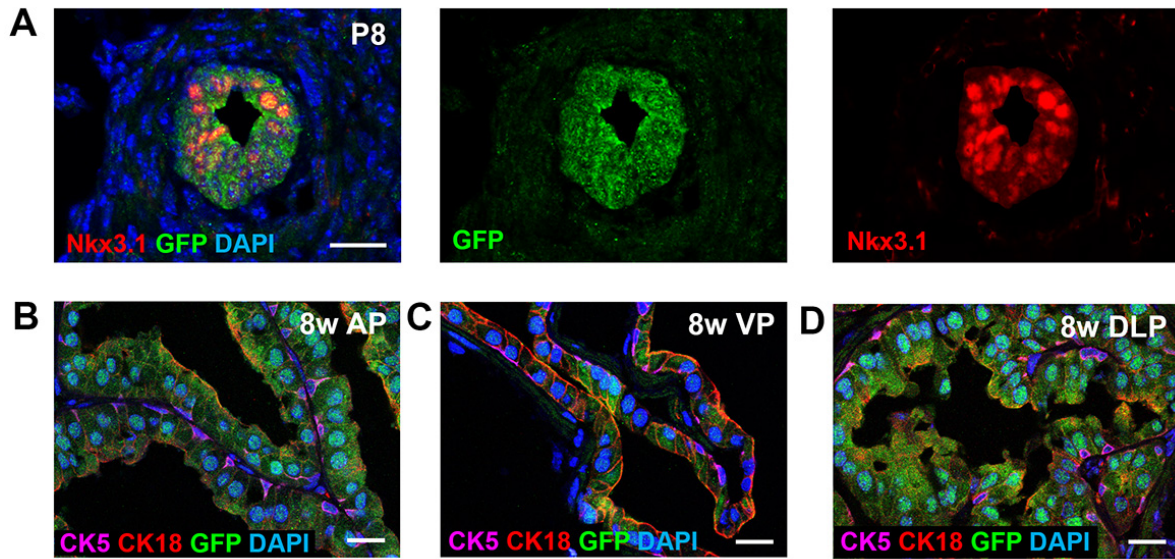
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## Supplementary Figures and Tables



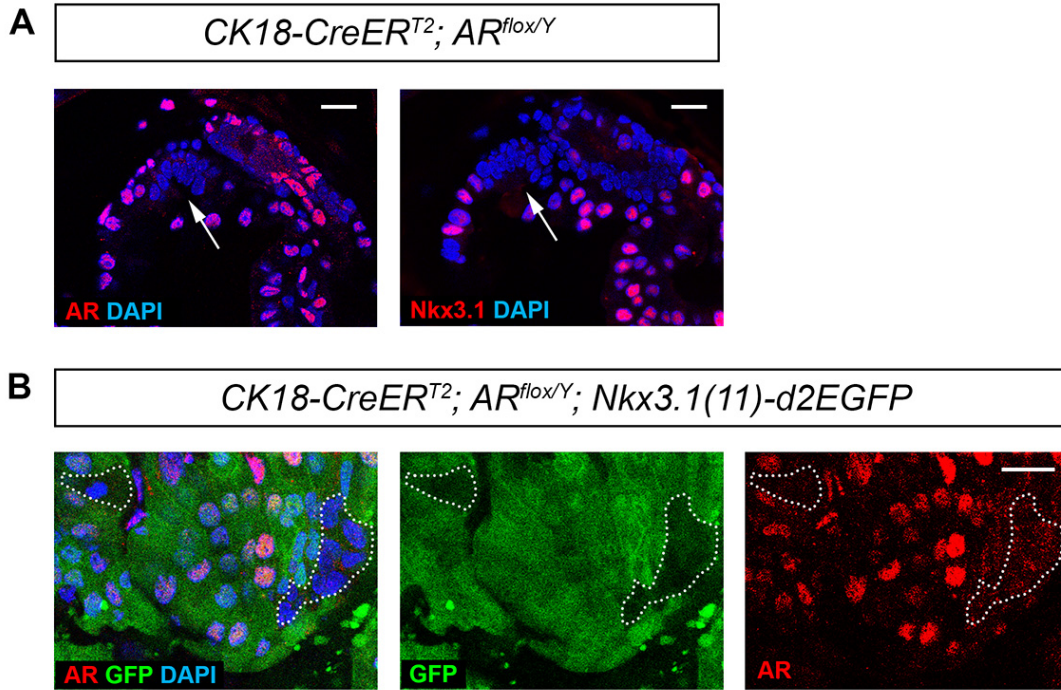
**Figure S1. Characterization of other *Nkx3.1(11)-d2EGFP* mouse lines.**

White field and GFP channel overlay images of dissected urogenital tissues showing various degrees of GFP expression in prostate lobes for the other four weaker *Nkx3.1(11)-d2EGFP* transgenic lines. The green signal in bladders was due to autofluorescence of urine.



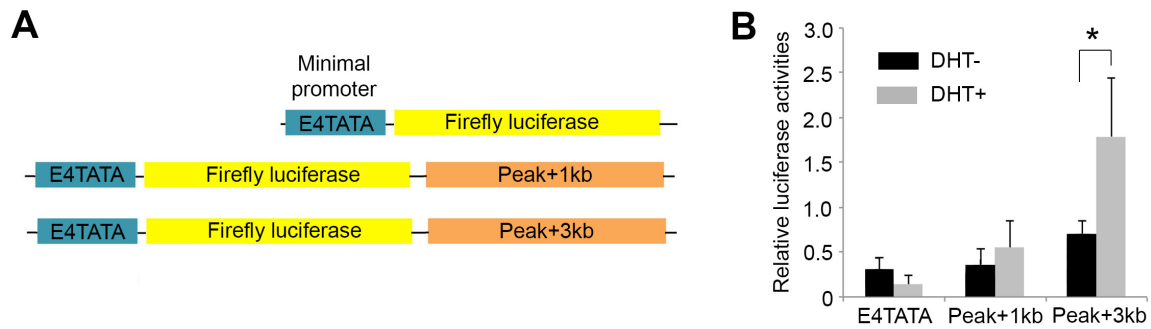
**Figure S2. Characterization of *Nkx3.1(11)-d2EGFP* in neonatal prostate and different adult prostate lobes.**

(A) IF image showing GFP reporter and Nkx3.1 co-localization in the prostate epithelium of mice at stage P8. (B-D) Representative IF images showing GFP reporter expression present in luminal cells of adult prostate at 8 weeks of age, with the following order of penetration in different lobes: AP>DLP>VP. Scale bars correspond to 20 microns.



**Figure S3. AR activates *Nkx3.1* transcription through the 11-kb in luminal cells.**

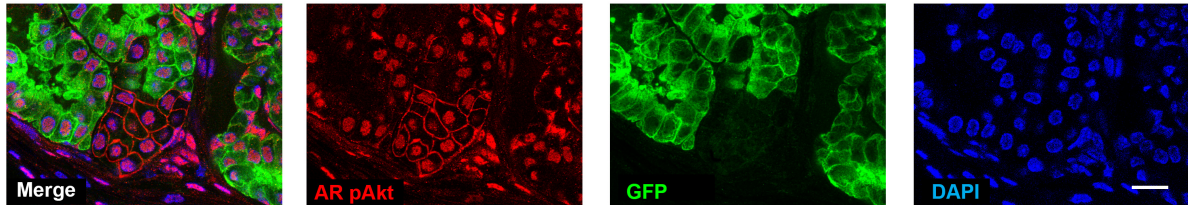
(A) IF staining of adjacent sections of tamoxifen-induced  $CK18-CreER^{T2}; AR^{lox/Y}$  mice showing the absence of *Nkx3.1* signal in AR-deleted prostate luminal cells (arrow). (B) GFP reporter signal was strongly reduced in *AR*-null luminal cells in the DLP of tamoxifen-induced  $CK18-CreER^{T2}; AR^{lox/Y}; Nkx3.1(11)-d2EGFP$  mice. Scale bars correspond to 20 microns.



**Figure S4. Luciferase assays to test candidate enhancers.**

(A) Constructs for transient transfection and luciferase assays with the candidate enhancers positioned downstream of the luciferase sequence. (B) Luciferase reporter assays comparing the construct with only the minimal promoter to those containing “Peak+1kb” and “Peak+3kb” sequences downstream of the luciferase sequence showing that the “Peak+3kb” region is androgen responsive. Error bars correspond to one s.d. \*  $p < 0.05$  by student’s t test.

*CK18-CreER<sup>T2</sup>; Pten<sup>flx/flx</sup>; Nkx3.1(11)-d2EGFP*



**Figure S5. Absence of GFP reporter signal in *Pten*-null luminal cells.**

Triple IF staining showing that *Pten*-null luminal cells, which were identified by pAkt signal (membrane red), still expressed nuclear AR (nuclear red), but lost the GFP reporter expression. Scale bar corresponds to 20 microns.

**Table S1. Summary of GFP expression intensity in five *Nkx3.1(11)-d2EGFP* transgenic lines.**

Transgenic mouse lines	GFP expression in embryos	GFP expression in adult prostate		
		AP	VP	DLP
<i>Ntg16</i>	negative	strong	weak	weak
<i>Ntg18</i>	negative	strong	strong	strong
<i>Ntg27</i>	negative	moderate	weak	weak
<i>Ntg28</i>	negative	weak	weak	weak
<i>Ntg41</i>	negative	strong	moderate	strong



**Table S2. Primers for mouse genotyping.**

Allele		Primer sequence
<i>CreER<sup>T2</sup></i>	forward	5'-CAGATGGCGCGGCAACACC-3'
	reverse	5'-GCGCGGTCTGGCAGTAAAAAC-3'
<i>AR<sup>lox</sup></i>	forward	5'-GTTGATACCTTAACCTCTGC-3'
	reverse	5'-CTTCAGCGGCTCTTTTGAAG-3'
<i>Pten<sup>lox</sup></i>	forward	5'-ACTCAAGGCAGGGATGAGC-3'
	reverse	5'-GTCATCTTCACTTAGCCATTGG-3'
<i>R26R-YFP</i>	wild-type forward	5'-GGAGCGGGAGAAATGGATATG-3'
	mutated forward	5'-GCGAAGAGTTTGTCTCAACC-3'
	reverse	5'-AAAGTCGCTCTGAGTTGTTAT-3'
<i>Nkx3.1</i>	wild-type forward	5'-CTCCGCTACCCTAAGCATCC-3'
	wild-type reverse	5'-GACACTGTCATATTACTTGGACC-3'

**Table S3. Antibodies used for immunofluorescence.**

<b>Antigen</b>	<b>Supplier</b>	<b>Ig type</b>	<b>Dilution</b>
AR	Sigma #A9853	rabbit IgG	1:400
CK5	Covance #PRB-160P	rabbit IgG	1:500
CK18	Abcam #ab668	mouse IgG1	1:100
Nkx3.1	Kim et al. (2002) PNAS 99: 2884-2889	rabbit IgG	1:2000
Phospho-Akt	Cell Signaling #3787	rabbit IgG	1:50
GFP	Abcam #13970	chick IgY	1:2000
YFP	Abcam #13970	chick IgY	1:2000

**Table S4. List of primer sequences for qRT-PCR**

Genes	Forward (5' to 3')	Reverse (5' to 3')
Fkbp5	GGGATGTTGTCAGATGGAAAG	TGTCCCAGGCTTTGATAACC
Crabp1	CGCTACAGCCAACACCACT	TCAGCATGGCGTTCACAC
Pgap2	TCCACTTGTCGCCTTCTTCT	CACTGATGGCAGGTAATTGG
Msemb	TGGTGATAGCATCCAAAGCA	AGCATCCATGCAGTCATCAG
Pbsn	ACACGAGTGGCTGGAGTTTT	TCCTCAATGCCCATTTCTTC
Mme	CGAGACCAGTTCCCGATACA	TTTTTGCTTTCTGCACTGCT
Actb	CCAACCGTGAAAAGATGACC	CCATCACAATGCCTGTGGTA

**Table S5. List of primer sequences for qChIP**

Location	Forward (5' to 3')	Reverse (5' to 3')
-6.5 kb	ACTGGTGGCCAAAGTTCTGA	TGGAAGTAGGGAAACTGAGGTC
-5.5 kb	GCCACCCAGTCAGAGGATAG	ATACAATAGTGCCCCGGTGA
-4.5 kb	CCAAAGAGCAAAGGGACAAG	GTCAGGCCCTTGACTTTCAC
-3.5 kb	GCAGTGCGAACACTCTTGAC	GAAGGAGGGGAGGCTAACAG
-2.5 kb	ATGGCCAGGGCTAATAAGGT	AGCCGCTCTTCTGTGTGACT
-1.3 kb	AGTTTCCCTCCGAAGTTGCT	GCTCTGCAGGGAACCTTGT
-0.2 kb	GAGAGGGAAACCAGGAAAGG	GCTCCAGGTGACCCTCAAG
+1 kb	ACACACATCCAGGATCCACA	ACATTCAGGTCTTAGCGGTTT
+2 kb	ATGGCCCCTTAGATGAGGAT	AAGGGCAGAGAGAAACTCTGG
+3 kb	GGGGTGCTTGTTTTTCATGT	CTCCTTGCTGGTTAGCTTGG
+4 kb	TGCGTGTGGTTTTTCAGATTC	AGTGCCTGTGGGAACATGG
+5 kb	GTGCCTACTTCAGGCAATCC	CATGCTTCTTGCCTGCTACA
+6 kb	TGTGCAAGAGAAAGGGCATA	AAGTCTGCAGAGGAGCCAAA
+7 kb	CCAAGAGAGTGGGTTTTCCA	TCATTGCATGGCAAGAACAT
+8 kb	TATGCCCTGCAGCCATAAG	TAGCTTTGGGCTAGGGTTCC
+9.5 kb	TCTGAGGGAAGGTGTCCTTG	CCACCCTACTTCTCTGGGATG

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